

# Unlock Wealth Securities Limited

## Investor Complaints Data

Data for every month ending March 2025

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Depositories	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources(if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL		NIL

## Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Jan-2022	0	0	0	0
2	Feb-2022	0	0	0	0
3	Mar-2022	0	0	0	0
4	Aprril-2022	0	0	0	0
5	May-2022	0	0	0	0
6	June-2022	0	0	0	0
7	July- 2022	0	0	0	0
8	August – 2022	0	0	0	0
9	September – 2022	0	0	0	0
10	October – 2022	0	0	0	0
11	November -2022	0	0	0	0
12	December -2022	0	0	0	0
13	January -2023	0	0	0	0
14	February -2023	0	0	0	0
15	March -2023	0	0	0	0
16	April -2023	0	0	0	0
17	May -2023	0	0	0	0

18	June-2023	0	0	0	0
19	July- 2023	0	1	1	0
20	August- 2023	0	0	0	0
21	September-2023	0	0	0	0
22	October -2023	0	0	0	0
23	November-2023	0	0	0	0
24	December-2023	0	0	0	0
25	January-2024	0	0	0	0
26	February-2024	0	0	0	0
27	March-2024	0	0	0	0
28	April-2024	0	0	0	0
29	May-2004	0	1	0	1
30	June-2024	1	0	1	0
31	July-2024	0	0	0	0
32	August- 2024	0	0	0	0
33	September-2024	0	0	0	0
34	October-2024	0	1	1	0
35	November-2024	0	0	0	0
36	December-2024	0	0	0	0
37	January -2025	0	0	0	0
38	February -2025	0	0	0	0
39	March-2025	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>0</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	1	1	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	1	1	0
5	2024-25	0	2	2	0
	<b>Grand Total</b>	<b>0</b>	<b>4</b>	<b>4</b>	<b>0</b>