

UPSE SECURITIES LIMITED

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USL / 2010-11: 47

23.02.2011

NOTICE TO SUB- BROKERS

Sub.: Delivery of Securities in the Demat Pool Account of the Company

All the sub-brokers of our Company are hereby informed that it has been observed that some sub-brokers are not filling the type of settlement i.e. Rolling / Normal or Trade for Trade in the Delivery Instruction Slips (DISs).

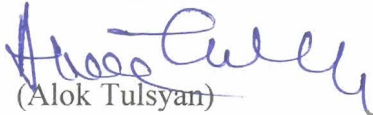
Sub-brokers are hereby cautioned to **compulsorily fill the type of settlement in the DIS.**

Sub-brokers are hereby advised to take note that the concerned sub-brokers are liable / responsible for the consequence of such failures on their part.

Sub-brokers are advised to take note of above.

This notice is being emailed to all the Sub-brokers and is also being put on the website of the Company. A hard copy of the same may be obtained from the office of the Company.

FOR UPSE SECURITIES LIMITED


(Alok Tulseyan)
Chief executive Officer

C.C to: M.D –UPSE,
G.M(O)-UPSE,
D.G.M.(Scl.) - UPSE
Surveillance – UPSE
EDP- BOLT/NSE- for flashing on system & putting on website
Margin-BOLT/NSE
Pay in/pay-out Funds-BOLT/NSE ,
Pay in/ pay-out Securities-BOLT/NSE
Notice Board