

UPSE SECURITIES LIMITED

Padam Tower, 14 / 113, Civil Lines, KANPUR – 208001

website: www.upsecindia.com

USL / 2010-11: 43

07.02.2011

NOTICE TO SUB- BROKERS

Sub.: Participation in IPOs / FPOs

Further to Notice No. 39 dated 13.01.2011, Sub-brokers of Company are hereby informed that the Company has got allotted codes from Merchant Bankers / Syndicates / Brokers for participation in IPOs / FPOs, details as follow:-

S.No.	Name of Merchant Banker / Syndicate / Broker	Code of Syndicate / Broker	Our Company Code	Sub-brokers Code
1.	Karvy Stock Broking Limited	KSBL 23/07701-38	305051	305052 to 305110
2.	ICICI Securities Limited	ICICI Sec 20/1061-3	UPSE Sec 9752425	Awaited
3.	SBICAP Securities Limited	-	UPSE Sec 2570100025	Awaited

In case of Public Issue Forms having stamp of Sub-broker, the brokerage received will be shared 50:50 between the Company and the concerned Sub-broker.

Sub-brokers are requested to contact / keep in touch with Shri J.N. Shukla for obtaining a separate code for each Merchant Banker / Syndicate / Broker and to know the further arrangements being done with more Merchant Bankers / Syndicates / Brokers e.g. with SMC Global Securities Limited.

This notice is being emailed to all the Sub-brokers and is also being put on the website of the Company. A hard copy of the same may be obtained from the office of the Company.

FOR UPSE SECURITIES LIMITED


(Alok Tulsyan)
Chief Executive Officer

C.C to: M.D-UPSE, G.M(O)-UPSE, D.G.M.(Scl.) - UPSE
EDP- BOLT/NSE- for flashing on system & putting on website
Shri J.N. Shukla, Shri Umesh Dixit, Manager: P & M
Shri Narendra Singh Yadav, Library, Notice Board