

UPSE SECURITIES LIMITED

'PADAM TOWERS', 14/113, CIVIL LINES, KANPUR-208 001

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USL/2010-11/ 27

11.11.2010

NOTICE TO SUB-BROKERS

Sub: Compulsory shifting to IML NeatXS Platform w.e.f. 01.12.2010

Further to Notice N0.29 dated 25.03.2009, Sub-brokers of the Company are informed that, in view of the SEBI directions for fully implementing the system of On-line Monitoring of margins at the sub-brokers level, the Board of Directors of the Company in its meeting held on 10.11.2010 has decided that all the Trading Terminals of the Sub-brokers of the Company be compulsorily shifted to the IML NeatXS Platform upto 01.12.2010.

The Sub-brokers, who have not so far installed the IML software, are advised to get installed the software latest by 25.11.2010 positively.

No further extension will be allowed in this regard.

For any assistance, please contact Shri Anurag Srivastava / Shri Vinay Pandey.

Minimum System Configuration :

Intel Core 2 Duo or Dual Core above 2.5 Ghz

RAM : 2 GB DDR2

HDD : 160 GB

DVD - ROM

LAN CARD

Licensed Antivirus Software

OS – Licensed Microsoft XP Professional with latest service pack

for UPSE SECURITIES LIMITED


(ALOK TULSYAN)

C.E.O (OFFICIATING)

C.C. to: M.D.- UPSE
G.M(O)- UPSE
AGM (Scl.)-UPSE
Surveillance - UPSE
EDP – BOLT / NSE – for flashing on system & putting on Website
Margin – BOLT/NSE
Pay-in/Pay-out Funds – BOLT/NSE
Pay-in/Pay-out Securities – BOLT/NSE
Notice Board