

# UPSE SECURITIES LIMITED

'PADAM TOWERS', 14/113, CIVIL LINES, KANPUR-208 001

Phone: 2338115, 2338074 Fax: 91-0512-2338175/2338220

Website : www.upsecindia.com

E-mail : upsesecltd@gmail.com & info@upsecindia.com

USL/2010-11/ 41

25.01.2011

## NOTICE TO SUB-BROKERS

### **Sub: Compulsory shifting to IML NeatXS Platform**

This is further to Notices No.21 dated 22.02.2008, No.29 dated 25.03.2009 and other notices regarding the captioned subject last being No.27 dated 11.11.2010.

Vide aforesaid notice dated 11.11.2010, Sub-brokers of the Company were informed to get installed the software latest by 25.11.2010 so that the terminals of the sub-brokers may be compulsorily shifted to the IML NeatXS Platform upto 01.12.2010.

Thereafter, as per the discussions in the Business Development Committee held on 19.11.2010, the above decision was deferred.

However, from the recent correspondence received from SEBI, it has been revealed that **SEBI has taken a serious view in the matter and the Board of the Company in its emergent meeting** held on 24.01.2011 has decided that all the Trading Terminals of the Sub-brokers of the Company be compulsorily shifted to the IML NeatXS Platform **upto 07.02.2011**.

The Sub-brokers, who have not so far installed the IML software, are advised to get installed the software **latest by 07.02.2011** positively.

No further extension will be allowed in this regard.

For any assistance, please contact Shri Anurag Srivastava / Shri Vinay Pandey.

### Minimum System Configuration :

Intel Core 2 Duo or Dual Core above 2.5 Ghz

RAM : 2 GB DDR2

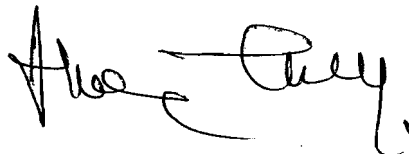
HDD : 160 GB

DVD - ROM

LAN CARD

Licensed Antivirus Software

OS – Licensed Microsoft XP Professional with latest service pack



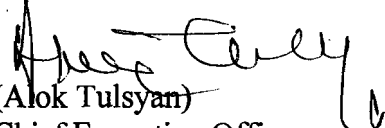
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Trading of such Sub-brokers, who are unable to shift to IML Software by 07.02.2011, will be deactivated w.e.f. 08.02.2011.

Out of the total cost of per License of Rs.7,500/-, Rs.3,750/- shall be borne by the Company. Accordingly, a sum of Rs.3,750/- per TWS Id shall be debited in the respective sub-broker's account. The amount of Rs.3,750/- if already recovered from the sub-broker, then the same will not be debited. The amount of AMC, when due, shall be payable by the respective Sub-brokers.

This notice is being emailed to all the Sub-brokers and has also been put on the website of the Company ([www.upsecindia.com](http://www.upsecindia.com)). A hard copy of the same may also be obtained from the office of the Company.

for UPSE SECURITIES LIMITED

  
(Alok Tulsyan)  
Chief Executive Officer

C.C. to: M.D.- UPSE  
G.M(O)- UPSE  
DGM (Scl.)-UPSE  
Surveillance - UPSE  
EDP – BOLT / NSE – for flashing on system & putting on Website  
and for necessary action.  
Margin – BOLT/NSE  
Pay-in/Pay-out Funds – BOLT/NSE  
Pay-in/Pay-out Securities – BOLT/NSE  
Notice Board