

UPSE SECURITIES LIMITED

Padam Tower, 14 / 113, Civil Lines, KANPUR – 208001

Phone: 2338115, 2338074 Fax: 91 – 0512 – 2338175, 2338220

e mail: upse@vsnl.in

USL / 2010-11: 36

22.12.2010

NOTICE TO SUB- BROKERS

Sub.: Know Your Client (KYC) Registration Forms

As per the decision in the meeting held on 21.12.2010 of the Committee for offering managements' comments on internal audit report, sub-brokers and clients are hereby advised that the old KYC forms are discontinued forthwith and, henceforth, only new KYC forms with index page will be accepted for registration of clients.

Sub-brokers may replace the old KYC forms lying with them, with the new KYC forms from the Company.

Sub-brokers and clients are also requested, not to paste any typed matter on the stamp papers to be enclosed with the KYC forms. Actually, they are required either to write the matter or to type / get the matter typed on the stamp papers.

Sub-brokers and clients are advised to take note of above and comply accordingly.

FOR UPSE SECURITIES LIMITED



**(ALOK TULSYAN)
CEO (OFFICIATING)**

**C.C to: M.D –UPSE,
G.M(O)-UPSE,
A.G.M.(Scl.) - UPSE
Surveillance – UPSE
EDP- BOLT/NSE- for flashing on system & putting on website
Margin-BOLT/NSE
Pay in/pay-out Funds-BOLT/NSE ,
Pay in/ pay-out Securities-BOLT/NSE
Notice Board**