

UPSE SECURITIES LIMITED
Padam Tower, 14 / 113, Civil Lines, KANPUR – 208001
Phone: 2338115, 2338074 Fax: 91 – 0512 – 2338175, 2338220
e mail: upse@vsnl.in

USL / 2010-11: 18

31.08.2010

NOTICE TO SUB- BROKERS

Sub.: Arbitration Mechanism in Stock Exchanges

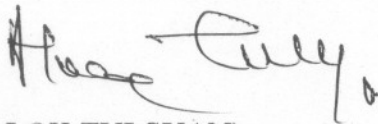
Sub-brokers are hereby informed that BSE, vide Notice No. 20100826 – 24 dated 26.08.2010, has requested to note the SEBI guidelines, issued vide its Circular No. CIR/MRD/DSA/24/2010 dated 11.08.2010.

The aforesaid Circular will be made applicable to all arbitration references filed with the Exchange on or after 01.09.2010.

Aforesaid Notice of BSE and Circular of SEBI are available on website.

Sub-brokers are advised to take note of the same and be guided/comply accordingly.

FOR UPSE SECURITIES LIMITED



(ALOK TULSYAN)
CEO (OFFICIATING)

C.C to: M.D. –UPSE,
 G.M.(O)-UPSE,
 A.G.M.(Scl.) - UPSE
 Surveillance UPSE
 EDP- BOLT/NSE- for flashing on system & putting on website
 Margin-BOLT/NSE
 Pay in/pay-out Funds-BOLT/NSE ,
 Pay in/ pay-out Securities-BOLT/NSE
 Notice Board