

UPSE SECURITIES LIMITED

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USL / 2010-11: 11

09.07.2010

NOTICE TO SUB- BROKERS

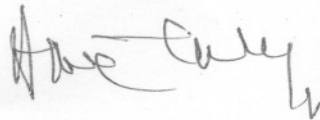
Sub.: Trading in Trade to Trade window at BOLT

Sub-brokers are advised to take precaution while doing trades in trade to trade window at BOLT, because that may result in physical delivery of shares. In such an eventuality, any expense, cost, penalty, etc. levied by BSE and incurred by our company, shall be recovered from the concerned sub-broker.

Moreover, any subsequent consequential expense, cost, penalty levied by BSE and incurred by our company for those shares e.g. for bad delivery of those shares, shall also be recovered from the concerned sub-broker.

Sub-brokers are advised to take note of above and be guided accordingly.

FOR UPSE SECURITIES LIMITED



(ALOK TULSYAN)
CEO (OFFICIATING)

C.C to: M.D –UPSE,
G.M(O)-UPSE,
Surveillance – UPSE
EDP- BOLT- for flashing on system & putting on website
Margin-BOLT
Pay in/pay-out Funds – BOLT , Pay in/ pay-out Securities – BOLT
Notice Board