

UPSE SECURITIES LIMITED

'PADAM TOWERS', 14/113, CIVIL LINES, KANPUR-208 001

Phone: 2338115, 2338074 Fax: 91-0512-2338175/2338220

Website : www.upsecindia.com

E-mail : upsesecld@gmail.com & info@upsecindia.com

USL/2010-11/03

11.05.2010

NOTICE TO SUB-BROKERS

Sub : NSE's Certification on Securities Market (NCFM) for users of NSE Terminal

Sub-Brokers attention is drawn to the following NSE/SEBI requirements on the above subject :-

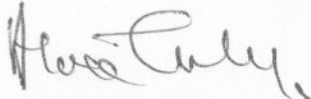
1. That only such persons, who have passed Capital Market (Dealers) Module of the NCFM, are authorized to use the Trading System of the NSE.
2. That all brokers / dealers and sales persons in the Derivatives Market have to mandatorily obtain certification i.e. Derivatives Market (Dealers) Module of the NCFM.

Sub-brokers are hereby informed that their registrations from SEBI are expected shortly and, thereafter, the company may allow them to trade on NSE Platform, however, subject to fulfillment of above requirements.

Accordingly, sub-brokers are advised to comply with the above requirements and submit the photocopy of the NCFM Certificate at the earliest.

Sub-brokers may please take note of the above and comply accordingly.

for UPSE SECURITIES LIMITED



(ALOK TULSYAN)
C.E.O (OFFICIATING)

C.C. to: M.D.- UPSE
G.M(O)- UPSE
Surveillance - UPSE
EDP – BOLT – for flashing on system & putting on Website
Margin – BOLT
Pay-in/Pay-out Funds – BOLT
Pay-in/Pay-out Securities – BOLT
Notice Board